Publication Report

18 Weeks Referral To Treatment

Quarter Ending 30 June 2011

Publication date – 30 August 2011

An Official Statistics Publication for Scotland
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About ISD
Scotland has some of the best health service data in the world combining high quality, consistency, national coverage and the ability to link data to allow patient based analysis and follow up.

Information Services Division (ISD) is a business operating unit of NHS National Services Scotland and has been in existence for over 40 years. We are an essential support service to NHSScotland and the Scottish Government and others, responsive to the needs of NHSScotland as the delivery of health and social care evolves.

Purpose: To deliver effective national and specialist intelligence services to improve the health and wellbeing of people in Scotland.
Mission: Better Information, Better Decisions, Better Health
Vision: To be a valued partner in improving health and wellbeing in Scotland by providing a world class intelligence service.

Official Statistics
Information Services Division (ISD) is the principal and authoritative source of statistics on health and care services in Scotland. ISD is designated by legislation as a producer of ‘Official Statistics’. Our official statistics publications are produced to a high professional standard and comply with the Code of Practice for Official Statistics. The Code of Practice is produced and monitored by the UK Statistics Authority which is independent of Government. Under the Code of Practice, the format, content and timing of statistics publications are the responsibility of professional staff working within ISD.

ISD’s statistical publications are currently classified as one of the following:

- National Statistics (ie assessed by the UK Statistics Authority as complying with the Code of Practice)
- National Statistics (ie legacy, still to be assessed by the UK Statistics Authority)
- Official Statistics (ie still to be assessed by the UK Statistics Authority)
- other (not Official Statistics)

Further information on ISD’s statistics, including compliance with the Code of Practice for Official Statistics, and on the UK Statistics Authority, is available on the ISD website.
Introduction
Better Health Better Care which was published in December 2007 set out a commitment: "18 week Referral To Treatment (RTT) standard will address the whole patient care pathway, from receipt of a GP referral, up to the point at which each patient is actually admitted to hospital for treatment".

The 18 Weeks Referral to Treatment (18 weeks RTT) target builds on previous waiting time targets which set maximum waiting times for stages of treatment, for first outpatient consultation, diagnostic tests and for inpatient and day case treatment. 18 weeks RTT focuses on the entire patient pathway from referral to treatment, including for the first time treatment undertaken in an outpatient setting and promotes a holistic approach to providing clinically effective, safe and efficient care in a timely manner. This target is due to be delivered from 31 December 2011.

This is the second publication of progress towards the 18 Weeks RTT target and includes information about NHS Scotland's performance against the 18 Weeks RTT target for the period January to June 2011.

These data are still at an early stage of development. NHS Boards are working with ISD and Scottish Government to improve the consistency and completeness of these data. Defining where a patient's journey begins and ends (i.e. 'clock start' and 'clock stop') is critical to its measurement. Hospital information systems are being upgraded to provide high levels of linkage.

The Scottish Government has determined that this target should be delivered for at least 90% of patients. This target allows for example, the relatively small proportion of cases where it is not clinically appropriate for the patient to be seen and treated within 18 weeks and also to take account of any exceptional increase in demand for secondary care services.

The responsibility for delivering the 18 Weeks RTT target lies with the NHS Board who receives the initial referral to secondary care, as this Board will be responsible for agreeing with the patient and relevant clinicians the most appropriate pathway of care. In some cases patients may be initially referred to one NHS Board and then have an onward referral to another NHS Board for treatment. Due to the constraints in current hospital information systems linking pathways as mentioned above, this second publication is based on NHS Board of Treatment, the NHS Board in which the patient's clock stopped.
Key points

• In June 2011 89.7% of patients on an 18 Weeks Referral To Treatment (18 Weeks RTT) pathway were reported as being seen within 18 weeks. The figures for April and May 2011 were 85.4% and 88.1%, respectively.

• To be able to report the 18 Weeks RTT waiting time it is necessary for NHS Boards to link the patient's 'clock start' to the 'clock stop'. Hospital information systems are being upgraded to provide high levels of linkage. Performance against the 18 Weeks RTT target should be interpreted in consideration of the level of pathway linkage. In June 2011 pathway linkage was 74.7%.

• This is the second publication of 18 Weeks RTT statistics. These data are still at an early stage of development. NHS Boards are working with ISD and Scottish Government to improve the consistency and completeness of these data. This target is due to be delivered from 31 December 2011.
Results and Commentary
This is the second publication of NHSScotland’s progress towards the 18 Weeks RTT target. These data are still under development. ISD is actively working with NHS Boards and Scottish Government to improve the consistency and completeness of these data.

This publication shows 18 Weeks RTT performance and pathway linkage for April, May and June 2011. To be able to report the 18 Weeks RTT waiting time it is necessary for NHS Boards to link the patient’s ‘clock start’ to the ‘clock stop’. Overall Performance within 18 weeks is the number of pathways where a clock stop could be linked to a clock start and the wait is within 18 weeks. Performance should be interpreted in consideration of the level of pathway linkage. Hospital information systems are being upgraded to provide high levels of pathway linkage.

NHSScotland’s 18 Weeks RTT performance and pathway linkage is shown in Table 1. NHSScotland’s 18 Weeks RTT performance is show in Chart 1.

Table 1: NHSScotland 18 Weeks RTT Performance and Pathway Linkage for January to June 2011

<table>
<thead>
<tr>
<th>Month</th>
<th>Overall Performance within 18 weeks (%)</th>
<th>Number of pathways &lt;= 18 weeks</th>
<th>Number of pathways &gt; 18 weeks</th>
<th>Linked pathways (%)</th>
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<tr>
<td>January 2011</td>
<td>82.1</td>
<td>77,353</td>
<td>16,857</td>
<td>69.1</td>
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<tr>
<td>February 2011</td>
<td>83.7</td>
<td>76,471</td>
<td>14,874</td>
<td>70.8</td>
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<tr>
<td>March 2011</td>
<td>85.2</td>
<td>90,714</td>
<td>15,795</td>
<td>68.3</td>
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<tr>
<td>April 2011</td>
<td>85.4</td>
<td>69,967</td>
<td>11,994</td>
<td>70.4</td>
</tr>
<tr>
<td>May 2011</td>
<td>88.1</td>
<td>86,434</td>
<td>11,658</td>
<td>73.8</td>
</tr>
<tr>
<td>June 2011</td>
<td>89.7</td>
<td>90,844</td>
<td>10,477</td>
<td>74.7</td>
</tr>
</tbody>
</table>

Chart 1: NHSScotland 18 Weeks RTT Performance for January to June 2011

![Chart 1: NHSScotland 18 Weeks RTT Performance for January to June 2011](image-url)
18 Weeks RTT performance and pathway linkage at NHS Board level is shown in Table 2.
Glossary

Pathway: An 18 Weeks RTT pathway begins with the patient’s referral for treatment and ends when the patient receives first treatment for their disease, condition or injury.

Clock Start: The date from which a patient’s waiting time period starts to be calculated.

Clock Stop: The date from which a patient’s waiting time period stops being calculated.

Linked pathways: Those pathways where it has been possible for the NHS Board of Treatment to connect the clock stop to the related clock start.

NHS Board of Treatment: The NHS Board in which the clock stop occurred.

Number of pathways $\leq 18$ weeks: Number of clock stops that were within 18 weeks (126 days or less) of the clock start.

Number of pathways $> 18$ weeks: Number of clock stops that were more than 18 weeks (127 days or more) of the clock start.

Unique Care Pathway Number (UCPN): A unique number allocated to all new referrals, to enable identification of patient pathways.
### List of Tables

<table>
<thead>
<tr>
<th>Table No.</th>
<th>Name</th>
<th>Time period</th>
<th>File &amp; size</th>
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<tr>
<td>2</td>
<td>18 Weeks RTT Performance and Linkage by NHS Board</td>
<td>Jan-Jun 2011</td>
<td>Excel [30kb]</td>
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Contact

Jamie Pearson
Principal Information Analyst
jamie.pearson@nhs.net
0131 275 7712

General Enquires
NSS.isd18wkRTT@nhs.net

Further Information
Further information can be found on the ISD website
Appendix

A1 – Background Information

Better Health Better Care which was published in December 2007 set out a commitment: "18 week Referral To Treatment (RTT) standard will address the whole patient care pathway, from receipt of a GP referral, up to the point at which each patient is actually admitted to hospital for treatment".

The 18 Weeks Referral to Treatment (18 weeks RTT) target builds on previous waiting time targets which set maximum waiting times for stages of treatment, for first outpatient consultation, diagnostic tests and for inpatient and day case treatment. 18 weeks RTT focuses on the entire patient pathway from referral to treatment, including for the first time treatment undertaken in an outpatient setting and promotes a holistic approach to providing clinically effective, safe and efficient care in a timely manner.

Further detail about NHSScotland performance targets can be found at the Scottish Government’s Scotland Performs website: http://www.scotland.gov.uk/About/scotPerforms/partnerstories/NHSScotlandperformance

Defining where a patient's journey begins and ends (i.e. 'clock start' and 'clock stop') is critical to its measurement. Definitions and guidance for 18 weeks RTT have been developed to help ensure that each patient's Referral To Treatment clock starts and stops fairly and consistently.

The performance figures reported are based on the patients where the reporting Board can link the 'clock start' for the patient's journey to the 'clock stop' to measure the whole journey.

NHS Boards are working with the Scottish Government and ISD to update systems in order to improve whole pathway information capture to support the measuring and reporting against the 18 Weeks RTT target.

The Unique Care Pathway Number (UCPN) is being rolled out in IT systems over Scotland to identify individual patient pathways of care, along with codes for outcomes following clinical consultations (Clinic Outcome Code recording, COCR). A UCPN is a unique number that should be allocated to all new referrals and will identify patient pathways in and across NHS Boards. COCR indicates the 'status' of a patient's pathway after every outpatient appointment, i.e. whether clock stopped or not. UCPN and COCR will enable the linking stages of the patient's pathway. NHS Boards are at various stages of implementing these.

Some caution should be exercised in using and interpreting these data at this early, developmental stage. Until pathway linkage is improved through the use of UCPN and COCR the data should be considered provisional and data quality notes should be taken in to consideration.

The responsibility for delivering the 18 Weeks RTT target is the NHS Board who receives the initial referral to secondary care as this Board will be responsible for agreeing with the patient and relevant clinicians the most appropriate pathway of care. In some cases patients may be initially referred to one NHS Board and then have an onward referral to
another NHS Board for treatment. Due to the system constraints in linking pathways as mentioned above, this first publication is based on NHS Board of Treatment.

Most patients will be seen and treated within the 18 Weeks RTT. The Scottish Government had determined that this target should be delivered for 90% of patients. This target allows for example, the small proportion of cases where it is not clinically appropriate for the patient to be seen and treated within 18 weeks and also to take account of any exceptional increase in demand for secondary care services.

As the data returned to ISD is not at individual patient level, derivation of the figures and data accuracy is a matter for individual NHS Boards and whilst it is not possible for ISD to fully validate the underlying data, ISD are developing and refining methods to compare reported data levels to previous management information and to other ISD data sources. ISD and the Scottish Government are working with NHS Boards to update systems in order to further improve whole pathway information capture to support the measuring and reporting against the 18 Weeks RTT target.

Prior to publication the data for each NHS Board is verified and signed off by the Chief Executive. Quality questions are asked of the data and the summary of the responses to these can be found in the data quality section.
A2 – Data quality

The 18 Weeks Referral to Treatment (18 weeks RTT) target builds on previous waiting time targets which set maximum waiting times for stages of treatment, for first outpatient consultation, diagnostic tests and for inpatient and day case. 18 weeks RTT focuses on the entire patient pathway from referral to treatment, including for the first time treatment undertaken in an outpatient setting and promotes a holistic approach to providing clinically effective, safe and efficient care in a timely manner. This target is due to be delivered from 31 December 2011.

This is the second publication of 18 Weeks RTT statistics. These data are still at an early stage of development. NHS Boards continue to work closely with the Scottish Government and ISD to update IT and other systems in order to continue to improve whole pathway information capture to support the measuring and reporting against the 18 Weeks RTT target.

While IT systems are being updated to capture information enabling measurement of the whole patient journey, NHS Boards are using a standard methodology as per National guidance for linking pathways. The Unique Care Pathway Number (UCPN) is being rolled out in IT systems over Scotland to identify individual patient pathways of care, along with codes for outcomes following clinical consultations (Clinic Outcome Code recording). Both these developments will help enable the linking stages of the patient's pathway. NHS Boards are at various stages of implementing these and are adjusting the standard methodology in order to improve pathway linkage.

NHS Boards also report that action plans are in place to address the capture of pathway information that takes place in sites where the main IT system is not in use, in return outpatient clinics and when the pathway starts outwith the Board of treatment.

Specific data quality information for each NHS Board is detailed below.

**NHS Ayrshire & Arran**
A new patient management system has been implemented that will enable UCPN on new referrals and full, systematic linking of patient pathways using UCPN. Following this upgrade, a data quality exercise was completed. A further planned upgrade in September 2011 will enable UCPN on new referrals outwith the patient management system. Work is continuing to improve process to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Ayrshire & Arran.

**NHS Borders**
A new patient management system has been implemented that will enable UCPN on new referrals and full, systematic linking of patient pathways using UCPN. As work continues to move to full linking of patient pathways using UCPN, refinements have been made to the standard methodology in order to improve pathway linkage in specific areas where this is less robust relative to the rest of NHS Borders.

**NHS Dumfries & Galloway**
A new patient management system has been implemented that will enable UCPN on new referrals and full, systematic linking of patient pathways using UCPN. Systematic linkage using UCPN will result in improved pathway linkage from August 2011. Staff training has been undertaken to support this process.
NHS Fife
NHS Fife are continuing development of a system for tracking patients and the linking of pathways in real time. Refinements have been made to this system, improving systematic linkage. Work is continuing to improve process to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Fife.

NHS Forth Valley
UCPN is now available on the majority of referrals and processes have been developed to enable full, systematic linking of patient pathways using UCPN from September 2011. Work is continuing to improve process to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Forth Valley.

NHS Grampian
Following the implementation of a new patient management system, a data quality exercise was completed. A further planned upgrade in Autumn 2011 will enable systematic linking of patient pathways. As work continues to achieve full linking of patient pathways using UCPN, refinements have been made to the standard methodology in order to improve pathway linkage in specific areas where this is less robust relative to the rest of NHS Grampian. Work is continuing to improve process to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Grampian.

NHS Greater Glasgow & Clyde
UCPN is now available on the majority of new referrals. A new patient management system will be rolled out across NHS Greater Glasgow & Clyde from September 2011 will enable UCPN on new referrals and full, systematic linking of patient pathways using UCPN. Work is continuing to improve process to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Greater Glasgow & Clyde.

NHS Highland
A new patient management system has been implemented that will enable full, systematic linking of patient pathways. As work continues to move to full linking of patient pathways, refinements have been made to the standard methodology in order to improve pathway linkage in specific areas where this is less robust relative to the rest of NHS Highland. Work is continuing to improve process to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Highland.

NHS Lanarkshire
A new patient management system has been implemented that will enable UCPN on new referrals and full, systematic linking of patient pathways using UCPN. As work continues to move to full linking of patient pathways using UCPN, work is ongoing to refine the adjusting the standard methodology in order to improve pathway linkage in specific areas where this is less robust relative to the rest of NHS Lanarkshire.

NHS Lothian
As work continues to move to full linking of patient pathways using UCPN, work is ongoing to refine the adjusting the standard methodology in order to improve pathway linkage in specific areas where this is less robust relative to the rest of NHS Lothian. Work is continuing to improve process to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Lothian.

NHS Orkney
An action plan to update IT systems that are outwith the main patient management system is in place in order to link these patient pathways. Further staff training is underway to
support this. A data quality exercise surrounding COCR has been completed. Staff training is continuing to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Orkney.

**NHS Shetland**
As work continues to move to full linking of patient pathways using UCPN, work is ongoing to refine the adjusting the standard methodology in order to improve pathway linkage. A data quality exercise surrounding COCR has been completed. Work is continuing to improve process to ensure COCR is in place by September 2011.

**NHS Tayside**
NHS Tayside are continuing development of a system for tracking patients and the linking of pathways in real time. Refinements have been made to this system, improving systematic linkage.

**NHS Western Isles**
As work continues to move to full linking of patient pathways using UCPN, work is ongoing to refine the adjusting the standard methodology in order to improve pathway linkage. A data quality exercise surrounding COCR has been completed. Staff training is continuing to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Western Isles.

**NHS National Waiting Times Centre**
A new patient management system has been implemented together with further staff training, enabling improvements to pathway linkage. Data collection at NHS National Waiting Times Centre is different from other NHS Boards as all referrals to the Golden Jubilee National Hospital are first received by another NHS Board; linking is only possible if the clock start information is available when the referral is made to NHS National Waiting Times Centre. Discussions between NHS Boards are currently being finalised to agree robust processes for systematically transferring full patient information.
# A3 – Publication Metadata (including revisions details)

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<tr>
<td>Description</td>
<td>Monthly summaries of whole journey waiting times across NHSScotland</td>
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<td>Theme</td>
<td>Health and Social Care</td>
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<td>Access and Waiting Times</td>
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<tr>
<td>Format</td>
<td>Excel workbooks</td>
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<td>Deadline for data submission is the 24th of each month, though files can be resubmitted up to 1 week before publication where the quality assurance process identifies differences with local figures.</td>
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<td>The last Tuesday of the month for each publication.</td>
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<tr>
<td>Frequency</td>
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<tr>
<td>Timeframe of data and timeliness</td>
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<td>Relevance and key uses of the statistics</td>
<td>Waiting times are important to patients and are a measure of how the NHS is responding to demands for services. Measuring and regular reporting of waiting times highlights where there are delays in the system and enables monitoring of the effectiveness of NHS performance throughout the country. The NHS in Scotland has been set a number of targets for maximum waiting times. Other uses of the data include information requests for a variety of customers, e.g. research charities; public companies; freedom of Information requests; information support to Boards; health intelligence work; parliamentary questions and HEAT targets.</td>
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<td>The 18 Weeks Standard applies in England and Wales also. Methods of data collection vary, therefore until the data is out of development comparisons should be taken cautiously.</td>
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<td>It is the policy of ISD Scotland to make its web sites and products accessible according to published guidelines.</td>
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<td><strong>Value type and unit of measurement</strong></td>
<td>Count of clock stops over and under 18 weeks, percentage performance against 18 weeks and percentage of clock stops linked to clock starts.</td>
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<td>Tuesday 29 November 2011</td>
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A4 – Early Access details (including Pre-Release Access)

Pre-Release Access

Under terms of the "Pre-Release Access to Official Statistics (Scotland) Order 2008", ISD are obliged to publish information on those receiving Pre-Release Access ("Pre-Release Access" refers to statistics in their final form prior to publication). The standard maximum Pre-Release Access is five working days. Shown below are details of those receiving standard Pre-Release Access and, separately, those receiving extended Pre-Release Access.

Standard Pre-Release Access:

Scottish Government Health Department
NHS Board Chief Executives
NHS Board Communication leads

Extended Pre-Release Access

Extended Pre-Release Access of 8 working days is given to a small number of named individuals in the Scottish Government Health Department (Analytical Services Division). This Pre-Release Access is for the sole purpose of enabling that department to gain an understanding of the statistics prior to briefing others in Scottish Government (during the period of standard Pre-Release Access).

Scottish Government Health Department (Analytical Services Division)