18 Weeks Referral To Treatment

Quarter Ending 31 December 2011

Publication date – 28 February 2012
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About ISD
Scotland has some of the best health service data in the world combining high quality, consistency, national coverage and the ability to link data to allow patient based analysis and follow up.

Information Services Division (ISD) is a business operating unit of NHS National Services Scotland and has been in existence for over 40 years. We are an essential support service to NHSScotland and the Scottish Government and others, responsive to the needs of NHSScotland as the delivery of health and social care evolves.

Purpose: To deliver effective national and specialist intelligence services to improve the health and wellbeing of people in Scotland.
Mission: Better Information, Better Decisions, Better Health
Vision: To be a valued partner in improving health and wellbeing in Scotland by providing a world class intelligence service.

Official Statistics
Information Services Division (ISD) is the principal and authoritative source of statistics on health and care services in Scotland. ISD is designated by legislation as a producer of ‘Official Statistics’. Our official statistics publications are produced to a high professional standard and comply with the Code of Practice for Official Statistics. The Code of Practice is produced and monitored by the UK Statistics Authority which is independent of Government. Under the Code of Practice, the format, content and timing of statistics publications are the responsibility of professional staff working within ISD.

ISD’s statistical publications are currently classified as one of the following:

- National Statistics (ie assessed by the UK Statistics Authority as complying with the Code of Practice)
- National Statistics (ie legacy, still to be assessed by the UK Statistics Authority)
- Official Statistics (ie still to be assessed by the UK Statistics Authority)
- other (not Official Statistics)

Further information on ISD’s statistics, including compliance with the Code of Practice for Official Statistics, and on the UK Statistics Authority, is available on the ISD website.
**Introduction**

Better Health Better Care which was published in December 2007 set out a commitment: "the 18 week Referral To Treatment (RTT) standard will address the whole patient care pathway, from receipt of a GP referral, up to the point at which each patient is actually admitted to hospital for treatment".

The 18 Weeks Referral to Treatment (18 Weeks RTT) target builds on previous waiting time targets, which set maximum waiting times for stages of treatment, for first outpatient consultation, diagnostic tests and for inpatient and day case treatment. 18 Weeks RTT focuses on the entire patient journey from the initial referral to the start of treatment, including for the first time treatment undertaken in an outpatient setting, and promotes a holistic approach to providing clinically effective, safe and efficient care in a timely manner.

The responsibility for delivering the 18 Weeks RTT target lies with the NHS Board who receives the initial referral, as this Board will be responsible for agreeing with the patient and relevant clinicians the most appropriate pathway of care. In some cases patients may be initially referred to one NHS Board and then have an onward referral to another NHS Board for treatment.

Due to the constraints in current hospital information systems in linking all stages of a patient's journey to measure their waiting time as mentioned above, these statistics are presented by NHS Board of Treatment, the NHS Board in which the patient's treatment was started. NHS Boards are in the process of fully implementing upgrades to their systems to improve the data collection.

The Scottish Government has determined that the 18 Weeks RTT target should be delivered for at least 90% of patients. This target allows, for example, the relatively small proportion of cases where it is not clinically appropriate for the patient to be seen and treated within 18 weeks and also to take account of any exceptional increase in demand for secondary care services.
Key points

- In December 2011, 92.0% of patient journeys for which an 18 Weeks Referral To Treatment (18 Weeks RTT) waiting time could be measured were reported as being within 18 weeks. The figures for October and November 2011 were 89.4% and 89.6%, respectively.

- In December 2011, a total of 111,191 patient journeys which would be eligible under the 18 weeks referral to treatment target were identified. The waiting time could be measured for 97,248 of these patients. It was not possible to calculate the waiting time fully for 13,943 patients. NHS Boards are in the process of fully implementing upgrades to their systems to improve the data collection.

- This is the fourth publication of 18 Weeks RTT statistics. This target is due to be delivered from 31 December 2011. Figures showing progress towards this target, for the period January to March 2012, will be published in May 2012.
Results and Commentary

This is the fourth publication of NHS Scotland’s progress towards the 18 Weeks RTT target for the period from January to December 2011. These data are still under development. NHS Boards are actively working with ISD and Scottish Government to improve the consistency and completeness of these data. NHS Boards are in the process of fully implementing upgrades to their systems to improve the data collection.

Chart 1 and Table 1 show the percentage of patient journeys for which an 18 Weeks RTT waiting time could be measured that were within 18 weeks. In December 2011, 92.0% of patient journeys where the entire journey could be measured were within 18 weeks. The figures for October and November 2011 were 89.4% and 89.6%, respectively.

Chart 1: NHS Scotland. Patient journeys within 18 weeks, January to December 2011

To be able to calculate a patient's waiting time it is necessary for NHS Boards to link all stages of the patient's journey from the initial referral to the start of treatment. In December 2011 a total of 111,191 patient journeys eligible under the 18 Weeks RTT target were identified. The waiting time could be measured fully for 97,248 of these patient journeys (87.5%). See Table 1. It was not possible to calculate the waiting time fully for 13,943 patient journeys.
### Table 1: NHS Scotland. Patient journeys within 18 weeks and patient journeys that could be fully measured, for January to December 2011

<table>
<thead>
<tr>
<th>Month</th>
<th>Patient journeys within 18 weeks (%)</th>
<th>Number of patient journeys within 18 weeks</th>
<th>Number of patient journeys over 18 weeks</th>
<th>Patient journeys that could be fully measured (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 2011</td>
<td>82.1</td>
<td>77,353</td>
<td>16,857</td>
<td>69.1</td>
</tr>
<tr>
<td>February 2011</td>
<td>83.7</td>
<td>76,471</td>
<td>14,874</td>
<td>70.8</td>
</tr>
<tr>
<td>March 2011</td>
<td>85.2</td>
<td>90,714</td>
<td>15,795</td>
<td>68.3</td>
</tr>
<tr>
<td>April 2011</td>
<td>85.4</td>
<td>69,967</td>
<td>11,994</td>
<td>70.4</td>
</tr>
<tr>
<td>May 2011</td>
<td>88.1</td>
<td>86,434</td>
<td>11,658</td>
<td>73.8</td>
</tr>
<tr>
<td>June 2011</td>
<td>89.7</td>
<td>90,844</td>
<td>10,477</td>
<td>74.7</td>
</tr>
<tr>
<td>July 2011</td>
<td>89.4</td>
<td>74,014</td>
<td>8,775</td>
<td>76.7</td>
</tr>
<tr>
<td>August 2011</td>
<td>89.7</td>
<td>91,862</td>
<td>10,601</td>
<td>78.4</td>
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<tr>
<td>September 2011</td>
<td>89.7</td>
<td>86,213</td>
<td>9,910</td>
<td>81.3</td>
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<tr>
<td>October 2011</td>
<td>89.4</td>
<td>84,687</td>
<td>10,017</td>
<td>83.9</td>
</tr>
<tr>
<td>November 2011</td>
<td>89.6</td>
<td>95,437</td>
<td>11,100</td>
<td>85.4</td>
</tr>
<tr>
<td>December 2011</td>
<td>92.0</td>
<td>89,478</td>
<td>7,770</td>
<td>87.5</td>
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</table>

The number of patient journeys within 18 weeks and the percentage of patient journeys that could be fully measured by NHS Board is shown in [Table 2](#).
Glossary

**Patient journey:** A patient’s 18 Weeks RTT journey begins with the receipt of referral for treatment and ends with the start of treatment.

**Patient journeys that could be fully measured:** Those patient journeys where it has been possible for the NHS Board treating the patient to link all stages of the patient’s journey from the initial referral to the start of treatment.

**NHS Board of Treatment:** The NHS Board in which treatment starts.

**Number of patient journeys within 18 weeks:** The number of patient journeys where the start of treatment was within 18 weeks (126 days or less) of the initial referral, minus any periods of patient unavailability.

**Number of patient journeys over 18 weeks:** The number of patient journeys where the start of treatment was over 18 weeks (126 days) from the initial referral, minus any periods of patient unavailability.

**Patient unavailability:** Unavailability, for patients without a date for treatment, is a period of time when the patient is unavailable for treatment. Unavailability can be for medical or social reasons.

**Unique Care Pathway Number (UCPN):** A unique number allocated to all new referrals, to enable identification of patient journeys and the linking of all the stages in the patient journey.
<table>
<thead>
<tr>
<th>Table No.</th>
<th>Name</th>
<th>Time period</th>
<th>File &amp; size</th>
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<tr>
<td>2</td>
<td>18 Weeks RTT Performance and Linkage by NHS Board</td>
<td>Jan-Dec 2011</td>
<td>Excel [40kb]</td>
</tr>
</tbody>
</table>
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Further Information
Further information can be found on the ISD website

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Appendix

A1 – Background Information

Better Health Better Care which was published in December 2007 set out a commitment: "the 18 week Referral To Treatment (RTT) standard will address the whole patient care pathway, from receipt of a GP referral, up to the point at which each patient is actually admitted to hospital for treatment".

The 18 Weeks Referral to Treatment (18 Weeks RTT) target builds on previous waiting time targets, which set maximum waiting times for stages of treatment, for first outpatient consultation, diagnostic tests and for inpatient and day case treatment. 18 Weeks RTT focuses on the entire patient journey from the initial referral to the start of treatment, including for the first time treatment undertaken in an outpatient setting, and promotes a holistic approach to providing clinically effective, safe and efficient care in a timely manner.

Further detail about NHS Scotland targets can be found at the Scottish Government’s Scotland Performs website.

The responsibility for delivering the 18 Weeks RTT target lies with the NHS Board who receives the initial referral, as this Board will be responsible for agreeing with the patient and relevant clinicians the most appropriate pathway of care. In some cases patients may be initially referred to one NHS Board and then have an onward referral to another NHS Board for treatment.

Due to the constraints in current hospital information systems in linking all stages of a patient’s journey to measure their waiting time as mentioned above, these statistics are presented on NHS Board of Treatment, the NHS Board where the patient's treatment was started. NHS Boards are in the process of fully implementing upgrades to their systems to improve the data collection.

Definitions and guidance for 18 Weeks RTT have been developed to help ensure that each patient’s journey is measured fairly and consistently.

The Unique Care Pathway Number (UCPN) is being rolled out in IT systems over Scotland to identify individual patient journeys, along with codes for outcomes following clinical consultations (Clinic Outcome Code recording, COCR). A UCPN is a unique number that should be allocated to all new referrals and will identify patient journeys in and across NHS Boards. COCR indicates the ‘status’ of a patient's journey after every outpatient appointment, i.e. whether treatment has started or not. UCPN and COCR will facilitate the linking of all stages of the patient's journey and the measurement of the 18 Weeks RTT waiting time. NHS Boards are at various stages of implementing these.

Some caution should be exercised in using and interpreting these data at this developmental stage. Until the linking together of all stages of a patient's journey is improved through the upgrades to hospital information systems and the use of UCPN and COCR, these data should be considered provisional and data quality notes should be taken in to consideration.

The Scottish Government had determined that this target should be delivered for 90% of patients. This target allows for example, the small proportion of cases where it is not
clinically appropriate for the patient to be seen and treated within 18 weeks and also to take account of any exceptional increase in demand for secondary care services.

As the data returned to ISD is not at individual patient level, derivation of the figures and data accuracy is a matter for individual NHS Boards and whilst it is not possible for ISD to fully validate the underlying data, ISD are developing and refining methods to compare reported data levels to previous management information and to other ISD data sources. NHS Boards are working with ISD and Scottish Government to update systems in order to further improve whole pathway information capture to support the measuring and reporting against the 18 Weeks RTT target.

Prior to publication the data for each NHS Board is verified and signed off by the Chief Executive. Quality questions are asked of the data and the summary of the responses to these can be found in the data quality section.
A2 – Data quality

The 18 Weeks Referral to Treatment (18 Weeks RTT) target builds on previous waiting time targets which set maximum waiting times for stages of treatment, for first outpatient consultation, diagnostic tests and for inpatient and day case. 18 weeks RTT focuses on the entire patient journey from the initial referral to the start of treatment.

These data are still under development. NHS Boards continue to work closely with the Scottish Government and ISD to update IT and other systems in order to continue to improve the linking of all stages of a patient’s journey to support the measuring and reporting against the 18 Weeks RTT target.

While IT systems are being updated to capture information enabling measurement of the whole patient journey, NHS Boards are using a standard methodology as per National guidance for linking patient journeys. The Unique Care Pathway Number (UCPN) is being rolled out in IT systems over Scotland to identify individual patient pathways of care, along with codes for outcomes following clinical consultations (Clinic Outcome Code recording). Both these developments will help enable the linking stages of the patient’s journey. NHS Boards are at various stages of implementing these and are adjusting the standard methodology in order to improve the data collection.

NHS Boards also report that action plans are in place to address the capture of information relating of the stages of a patient’s journey taking place in sites where the main IT system is not in use, in return outpatient clinics and when the journey starts outwith the Board of treatment.

Some caution should be exercised in using and interpreting these data at this developmental stage. Until the linking together of all stages of a patient’s journey is improved through the upgrades to hospital information systems and the use of UCPN and COCR, these data should be considered provisional and data quality notes should be taken in to consideration.

Specific data quality information for each NHS Board is detailed below.

**NHS Ayrshire & Arran**
The linking of patient journeys is achieved by using the standard methodology. A new patient management system has been implemented. Work is now being planned that will allow the systematic linking of patient journeys. Work is continuing to improve COCR and the linking of a patient’s journey when stages are outwith the main hospital systems.

**NHS Borders**
A new patient management system has been implemented enabling UCPN to be assigned to new referrals and allow the systematic linking of patient journeys. Systematic linkage using UCPN has resulted in further improved linkage of patient journeys this quarter. Work is continuing to improve data collection and the linking of a patient’s journey when stages are outwith the main hospital systems.

**NHS Dumfries & Galloway**
A new patient management system has been implemented enabling UCPN to be assigned to new referrals and allow the systematic linking of patient journeys.
NHS Fife
NHS Fife have a system for tracking patients and the automatic linking of the stages of a patient's journey in real time. Work is ongoing to monitor and refine the system's rules for linking journeys and improve the number of journeys that can be linked. UCPN is being recorded but not yet used to match patient journeys. Work is continuing to improve processes to ensure full COCR is in place in specific areas where this is less robust relative to the rest of NHS Fife.

NHS Forth Valley
NHS Forth Valley’s system for tracking patients and the automatic linking of the outpatient and the inpatient stages of a patient's journey in real time. Work is continuing to improve data collection and the linking of a patient's journey when stages are outwith the main hospital systems.

NHS Grampian
A new patient management system that enables UCPN to be assigned to new referrals and allows systematic linking of patient journeys. Work is ongoing to monitor and refine processes and further improve patient journey linkage. Further staff training is underway to support this.

NHS Greater Glasgow & Clyde
NHS Greater Glasgow and Clyde achieve linkage using UCPN and using the standard methodology where UCPN linking is not possible. Work is continuing to improve data collection and the linking of a patient's journey. A new patient management system is continuing to be rolled out across NHS Greater Glasgow & Clyde throughout 2012. This will enable UCPN to be assigned to new referrals and allow the systematic linking of patient journeys.

NHS Highland
A new patient management system has been implemented that will enable UCPN to be assigned to new referrals and allow the systematic linking of patient journeys. Work is ongoing to monitor and refine processes and further improve patient journey linkage. Further staff training is underway to support this.

NHS Lanarkshire
NHS Lanarkshire achieve linkage using UCPN together with the manual linking of individual patient journeys where UCPN linking is not possible, such as outwith the main hospital systems Work is ongoing to monitor and refine processes and further improve the linking of a patient's journey.

NHS Lothian
A new patient management system is being rolled out that will enable UCPN to be assigned to new referrals and allow the systematic linking of patient journeys. This new functionality is in place for one main treatment area and roll out will continue across other treatment areas throughout 2012. Patient journey linkage is expected to improve with this roll-out. While this is ongoing, the linking of patient journeys is achieved by using the standard methodology.
NHS Orkney
UCPN functionality has been full implemented on the patient management system. Further staff training has been undertaken to support this. Systematic linkage using UCPN will be rolled out from April 2012. As this work continues, the linking of patient journeys is achieved by a combination of the manual linking of individual patient journeys and using the linking standard methodology.

NHS Shetland
This quarter, NHS Shetland has implemented systematic linkage using UCPN together with the manual linking of individual patient journeys where UCPN linking is not possible, such as outwith the main hospital systems. Work is ongoing to monitor and refine processes and further improve the linking of a patient's journey when stages are outwith the main hospital systems.

NHS Tayside
NHS Tayside have developed a system for tracking patients and the automatic linking of the outpatient and the inpatient stages of a patient's journey in real time. Work is continuing to improve data collection and the linking of a patient's journey when stages are outwith the main hospital systems.

NHS Western Isles
NHS Western Isles has implemented systematic linkage using UCPN. Work is continuing to improve data collection and the linking of a patient's journey when stages are outwith the main hospital systems.

NHS National Waiting Times Centre
A new patient management system has been implemented together with further staff training, enabling improvements to pathway linkage. Data collection at NHS National Waiting Times Centre is different from other NHS Boards as all referrals to the Golden Jubilee National Hospital are first received by another NHS Board; linking is only possible if the clock start information is available when the referral is made to NHS National Waiting Times Centre. System changes have been made so the referring board can indicate whether or not a patient is on an 18 week pathway.
## A3 – Publication Metadata (including revisions details)

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<tr>
<td>Description</td>
<td>Monthly summaries of whole journey waiting times across NHSScotland</td>
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<td>Theme</td>
<td>Health and Social Care</td>
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<td>Access and Waiting Times</td>
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<tr>
<td>Format</td>
<td>Excel workbooks</td>
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<td>Aggregate returns from individual NHS Boards are submitted monthly to ISD using a defined Excel template. These are derived from local systems and methods of linking whole pathways vary between Boards.</td>
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<td>Deadline for data submission is the 24th of each month, though files can be resubmitted up to 1 week before publication where the quality assurance process identifies differences with local figures.</td>
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<td>The last Tuesday of the month for each publication.</td>
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<td>Frequency</td>
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<tr>
<td>Timeframe of data and timeliness</td>
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<td>Relevance and key uses of the statistics</td>
<td>Waiting times are important to patients and are a measure of how the NHS is responding to demands for services. Measuring and regular reporting of waiting times highlights where there are delays in the system and enables monitoring of the effectiveness of NHS performance throughout the country. The NHS in Scotland has been set a number of targets for maximum waiting times. Other uses of the data include information requests for a variety of customers, e.g. research charities; public companies; freedom of Information requests; information support to Boards; health intelligence work; parliamentary questions and HEAT targets.</td>
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<td>These data are classified as developmental. ISD receives aggregate data from each NHS Board of Treatment, signed off as accurate by the Chief Executive.</td>
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<td>Completeness</td>
<td></td>
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<td>Comparability</td>
<td>The 18 Weeks Standard applies in England and Wales also. Methods of data collection vary, therefore until the data is out of development comparisons should be taken cautiously.</td>
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<td>Accessibility</td>
<td>It is the policy of ISD Scotland to make its web sites and products accessible according to <a href="http://www.18weeks.scot.nhs.uk/downloads/1274884272-18%2BWeeks%2BThe%2BReferral%2BTreatment%2BStandard%2BPrinciples%2B%2526%2BDefinitions%2BIssue%2B0%2BJanuary%2B2009.pdf">published guidelines</a>.</td>
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<td>Disclosure</td>
<td>The <a href="#">ISD protocol on Statistical Disclosure Protocol</a> is followed.</td>
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<tr>
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<td>Tuesday 29 November 2011</td>
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A4 – Early Access details (including Pre-Release Access)

Pre-Release Access

Under terms of the "Pre-Release Access to Official Statistics (Scotland) Order 2008", ISD are obliged to publish information on those receiving Pre-Release Access ("Pre-Release Access" refers to statistics in their final form prior to publication). The standard maximum Pre-Release Access is five working days. Shown below are details of those receiving standard Pre-Release Access and, separately, those receiving extended Pre-Release Access.

Standard Pre-Release Access:

- Scottish Government Health Department
- NHS Board Chief Executives
- NHS Board Communication leads

Extended Pre-Release Access

Extended Pre-Release Access of 8 working days is given to a small number of named individuals in the Scottish Government Health Department (Analytical Services Division). This Pre-Release Access is for the sole purpose of enabling that department to gain an understanding of the statistics prior to briefing others in Scottish Government (during the period of standard Pre-Release Access).

- Scottish Government Health Department (Analytical Services Division)

Early Access for Management Information

These statistics will also have been made available to those who needed access to ‘management information’, ie as part of the delivery of health and care:

- Scottish Government Health Department (Access Support Team)